Codimension one in Algebraic and Arithmetic Geometry.

Hélène Esnault FU Berlin/Harvard/Copenhagen

CHAT, December 4, 2023

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We thank

Chi-Yun, Henri and Shekhar for the kind invitation.

We thank

Sasha Beilinson, Bhargav Bhatt, Spencer Bloch, Pierre Deligne, Ofer Gabber, Mark Kisin, Peter Scholze with whom along the years we discussed various aspects of the mathematics presented here.

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Hodge

X smooth projective over $\mathbb C$

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a Q-sub-Hodge structure of $H^{2j}(X)$ of Hodge type (j, j) should be supported in codimension j.

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generalized Hodge (codimension 1): Grothendieck

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equivalently

$$\implies?? [H^i_{dR}(X) \xrightarrow{\operatorname{rest}=0} H^i_{dR}(\mathbb{C}(X)) := \lim_U H^i_{dR}(U)]$$

weights

The notions of weight in complex geometry and in ℓ -adic theory in geometry over a finite field have been developed by Deligne and by the Grothendieck school. The analogy between the theories is foundational and led to predictions and theorems on both sides.

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Hodge filtration

On the complex Hodge theory side, not only do we have the weight filtration, but we also have the Hodge filtration. The analogy on the ℓ -adic side of the Hodge filtration over a finite field hasn't really been documented (by Deligne and by no-one).

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Tate \longleftrightarrow Hodge

X smooth projective over \mathbb{F}_q

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codimension 1 Tate?

more generally: ℓ -adic analog of the Hodge filtration?

Thinking of

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Lang-Manin conjecture (1966 for Manin) (Theorem 2002)

X projective smooth over \mathbb{F}_q , X rationally connected \Longrightarrow $X(\mathbb{F}_q) \neq \emptyset$, i.e. X has a rational point.

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Lang-Manin conjecture (1966 for Manin) (Theorem 2002)

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Let me explain why and give some prospective.

Deligne Hodge II

$X \text{ smooth projective over } \mathbb{C} \Longrightarrow \ \forall \ arnothing \neq U \subset X$

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so

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expressible over the field of definition $K \subset \mathbb{C}$ of X

$$(\star) \quad H^{i}(X, \mathcal{O}) \neq 0 \Longrightarrow [H^{i}_{dR}(X) \xrightarrow{\operatorname{rest} \neq 0} H^{i}_{dR}(K(X)]$$

so remarkable fact

For this particular case $H^i(X, \mathcal{O}) = 0$ making $H = H^i_{dR}(X)$ of Hodge type $(i - 1, 1), \dots, (1, i - 1)$, the codimension 1 conjecture is expressible over the field of definition $K \subset \mathbb{C}$ of X.

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codimension 1 conjecture in this case is purely algebraic

It says the relation is iff in (*): $H^{i}(X, \mathcal{O}) = 0 \implies ?? [H^{i}_{dR}(X) \xrightarrow{\operatorname{rest}=0} H^{i}_{dR}(K(X))]$

Examples

1st example

i = 1: $H^1(X, \mathcal{O}) = 0 \iff H^1_{dR}(X) = 0$ by Hodge theory: indeed $0 \to H^0(X, \Omega^1) \to H^1_{dR}(X) \to H^1(X, \mathcal{O}) \to 0$ (Hodge-to-de Rham-degeneration) plus Hodge duality $h^{10} = h^{01}$.

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2nd example

$$\begin{split} i &= 2: \ H^2(X, \mathcal{O}) = 0 \iff H^2_{dR}(X) = NS(X) \otimes \mathbb{Q} \text{ by Hodge} \\ \text{theory: indeed} \\ \text{exponential sequence } 1 \to \mathbb{Z}(2\pi\sqrt{-1}) \to \mathcal{O}_{\mathrm{an}} \xrightarrow{\exp} \mathcal{O}_{\mathrm{an}}^{\times} \to 0 \\ \Longrightarrow H^1(\mathcal{O}_{\mathrm{an}}^{\times}) \xrightarrow{\mathrm{surj}} H^2(X, \mathbb{Z}(2\pi\sqrt{-1})) \text{ and } \mathsf{GAGA} \Longrightarrow \\ H^1(\mathcal{O}_{\mathrm{an}}^{\times}) = H^1(\mathcal{O}^{\times}) = \mathrm{Pic}(X). \end{split}$$

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Note: in this case i = 2 this is equivalent to the classical Hodge conjecture.

So purely analytic proofs in spite of purely algebraically formulated problem; those are the *only known* examples.

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$$CH_0(X_{\mathbb{C}}) = \mathbb{Q} \implies \forall i \ge 1 \qquad [H^i_{dR}(X) \xrightarrow{\operatorname{rest}=0} H^i_{dR}(K(X))]$$

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In fact the motivic conjectures imply iff:

$$\begin{split} & H^{i}(X,\mathcal{O}) = 0 \; \forall i \geq 1 \Longrightarrow CH_{0}(X_{\mathbb{C}}) = \mathbb{Q} \text{ so in particular it implies} \\ & [H^{i}_{dR}(X) \xrightarrow{\text{rest}=0} H^{i}_{dR}(K(X))] \; \forall i \geq 1. \end{split}$$

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Truly this is very bold and might make us dizzy.

Narrative

l was driving

on the highway between Essen (Germany) and Paris (France). It was raining, the windscreen wipers were scratching on the window with a regular squeaky noise. All of a sudden in Belgium I thought on a possible analogy to the condition $H^i(X, \mathcal{O}) = 0$ for X smooth projective defined over \mathbb{F}_q . Let me first explain why one wants the analogy and then what it is.

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Integrality

Deligne's integrality

 $X \text{ smooth } /\mathbb{F}_q \Longrightarrow$ the eigenvalues of the geometric Frobenius acting on $H^i(X_{\overline{\mathbb{F}}_p}, \mathbb{Z}_\ell)$ lie in $\overline{\mathbb{Z}}$.

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Gabber's purity together with localization

$$\implies: \text{ if } [H^{i}(X_{\mathbb{F}_{p}}, \mathbb{Q}_{\ell}) \xrightarrow{\text{rest }=0} H^{i}(\mathbb{F}_{p}(X_{\mathbb{F}_{p}}), \mathbb{Q}_{\ell})], \text{ then the eigenvalues of the geometric Frobenius acting on } H^{i}(X_{\mathbb{F}_{p}}, \mathbb{Z}_{\ell}) \ \forall i > 0 \text{ all lie in } q \cdot \overline{\mathbb{Z}}.$$

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Divisibility of the eigenvalues of the geometric Frobenius

Grothendieck-Lefschetz Trace Formula

$$|X(\mathbb{F}_q)| = 1 + \sum_{i \ge 1} (-1)^i \mathrm{Tr} \; \mathrm{Frob}|H^i(X_{\overline{\mathbb{F}}_p}, \mathbb{Z}_\ell)$$

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so

$[H^i(X_{\mathbb{F}_p},\mathbb{Q}_\ell)\xrightarrow{\mathrm{rest}\ =0} H^i(\mathbb{F}_p(X_{\mathbb{F}_p}),\mathbb{Q}_\ell)] \Longrightarrow \ |X(\mathbb{F}_q)| \equiv 1 \ \mathrm{mod}\ q.$

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So on the highway

it vaguely appeared to me that is one has an analog to $H^i(X, \mathcal{O}) = 0 \ \forall i \geq 1$ then one would wish to have $[H^i(X_{\mathbb{F}_p}, \mathbb{Q}_\ell) \xrightarrow{\text{rest} = 0} H^i(\mathbb{F}_p(X_{\mathbb{F}_p}), \mathbb{Q}_\ell)] \ \forall i \geq 1$ and then one would obtain not only the existence of a rational point on X but in fact even a congruence for the number of rational points.

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The analog of $H^i(X, \mathcal{O}) = 0$

for X smooth projective over \mathbb{F}_q is: the eigenvalues of the geometric Frobenius acting on $H^i(X_{\overline{\mathbb{F}}_p}, \mathbb{Z}_\ell)$ all lie in $q \cdot \overline{\mathbb{Z}}$

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while obviously the analog of $[H^i_{dR}(X) = 0 \xrightarrow{\text{rest} = 0} H^i_{dR}(K(X))]$ for X smooth projective over \mathbb{F}_q is: $[H^i(X_{\overline{\mathbb{F}}_p}, \mathbb{Q}_\ell) \xrightarrow{\text{rest} = 0} H^i(\overline{\mathbb{F}}_p(X_{\overline{\mathbb{F}}_p}), \mathbb{Q}_\ell)]$

Has to see

Bloch's (and later Bloch-Srinivas') technic shows as well: X smooth projective over \mathbb{F}_q then $CH_0(X_{\overline{\mathbb{F}_q}(X)}) = \mathbb{Q} \Longrightarrow [H^i(X_{\overline{\mathbb{F}}_p}, \mathbb{Q}_\ell) \xrightarrow{\text{rest }=0} H^i(\overline{\mathbb{F}}_p(X_{\mathbb{F}_q}), \mathbb{Q}_\ell)]$ $\forall i \ge 1$

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as, essentially by definition

 $\begin{array}{l} X \text{ smooth projective over } \mathbb{F}_q, \ X \text{ rationally connected} \\ \Longrightarrow \mathcal{CH}_0(X_{\overline{\mathbb{F}_q(X)}}) = \mathbb{Q} \end{array}$

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Theorem (generalization of the Lang-Manin conjecture) (2002)

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$$CH_0(X_{\overline{\mathbb{F}_q(X)}}) = \mathbb{Q} \Longrightarrow |X(\mathbb{F}_q)| \equiv 1 \mod q$$

Analogy also yields formulation of

"Tate conjecture" in codimension 1

X smooth projective over \mathbb{F}_q such that the eigenvalues of the geometric Frobenius on $H^i(X_{\overline{\mathbb{F}}_p}, \mathbb{Q}_\ell)$ lies in $q \cdot \overline{\mathbb{Z}} \Longrightarrow ??$ $[H^i(X_{\overline{\mathbb{F}}_p}, \mathbb{Q}_\ell) \xrightarrow{\text{rest }=0} H^i(\overline{\mathbb{F}}_p(X_{\mathbb{F}_q}), \mathbb{Q}_\ell)]$

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Grothendieck's codimension 1 conjecture

seen on $X_{\bar{\mathbb{Q}}_a}$, in étale *p*-adic cohomology

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Grothendieck's codimension 1 conjecture

seen on $X_{\overline{\mathbb{Q}}_q}$, in étale *p*-adic cohomology and on $X_{\mathbb{Q}_p}$ in de Rham cohomology

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seen on $X_{\overline{\mathbb{Q}}_q}$, in étale *p*-adic cohomology and on $X_{\mathbb{Q}_p}$ in de Rham cohomology for *p* large.

prismatic lift

Those two cohomologies are induced from prismatic cohomology on $X_{\mathbb{Z}_p}$. It is natural to ask whether this prismatic lift yields a non-trivial information on the problem.